[X] First Class Mail or [ ] Express Mail Certificate IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re Application of: SWALES, Andrew G. Group Art Unit: 2151 Serial No. 09/614,489 Examiner: Dinh, Khanh Q. Atty. Dkt. No: LAN01-US Filed: 07/11/2000 INDUSTRIAL AUTOMATION NETWORKING SYSTEM For:

Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

Mail Stop Amendment

CERTIFICATE OF MAILING 37 CFR 1.8: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as FIRST CLASS MAIL addressed to: Mail Stop Amendment, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450

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To:

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, 2 sheets form PTO/SB/08A and/or PTO/SB/08B, and 2 references and other information Please enter in the above application and communicate in all related matters with the undersigned.

All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account #500323.

Respectfully submitted,

Cus. No. 24222 Maine & Asmus PO Box 3445

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Tel. No. (603) 886-6100, Fax. No. (603) 886-4796 Attorneys/Agents for Applicant

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Andrew P. Cernota, Reg. No. 52,711



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: SWALES, Andrew G.

Group Art Unit: 2151

Serial No. 09/614,489

Examiner: Dinh, Khanh Q.

Filed:

07/11/2000

Atty. Dkt. No: LAN01-US

For:

INDUSTRIAL AUTOMATION NETWORKING SYSTEM

To:

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Date:

12/3/04

Dawn M. Case

Commissioner:

### INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement and the attached <u>2</u> sheet(s) of form PTO/SB/08A and/or PTO/SB/08B and <u>2</u> references and other information in accordance to the duty of disclosure under 37 C.F.R. §§1.56,1.97, and 1.98, and requests consideration hereof.

Statement under 37 C.F.R. §1.97(e): I hereby state that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

<u>Information Cited:</u> The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO/SB/08A and/or PTO/SB/08B. The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO/SB/08A and/or PTO/SB/08B are in English, no commentary is required.

<u>Remarks</u>: A copy of each reference, together with a listing on Form PTO/SB/08A and/or PTO/SB/08B, is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO/SB/08A and/or PTO/SB/08B be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

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Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Complete if Known      |                   |  |
|------------------------|-------------------|--|
| Application Number     | 09/614,489        |  |
| Filing Date            | 07/11/2000        |  |
| First Named Inventor   | Swales, Andrew G. |  |
| Art Unit               | 2151              |  |
| Examiner Name          | Dinh, Khanh       |  |
| Attorney Docket Number | LAN01-US          |  |

| U. S. PATENT DOCUMENTS                |              |  |                                |  |  |  |  |
|---------------------------------------|--------------|--|--------------------------------|--|--|--|--|
| Examiner<br>Initials*                 | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2 (f known)</sup> | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevan<br>Figures Appear |  |  |
|                                       |              | <sup>US-</sup> 5,526,489                                 | 06-11-1996                     | Nilakantan et al.                                  |  |  |  |
|                                       |              | <sup>US-</sup> 6,061,739                                 | 05-09-2000                     | Reed et al.  |  |  |  |
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| Examiner<br>Initials* |          | Foreign Patent Document   | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages |   |
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| maais                 | 110.     | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       | , фримания спостои                              | Or Relevant Figures Appear                        | Ţ |
|                       |          | WO 01/50711 A1  | 07-12-2001       | Schneider Automation I                          |   |   |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

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|-----------------------------------|-------------------------|-----|-----------|------------------------|-------------------|--|
| Jubstitu                          | Re 10/10/11/11/449/1-10 |     |           | Application Number     | 09/614,489        |  |
| INF                               | ORMATION                | DIS | CLOSURE   | Filing Date            | 07/11/2000        |  |
| STATEMENT BY APPLICANT            |                         |     | PPLICANT  | First Named Inventor   | Swales, Andrew G. |  |
| (Use as many sheets as necessary) |                         |     |           | Art Unit               | 2151              |  |
|                                   |                         |     | ecessary) | Examiner Name          | Dinh, Khanh       |  |
| Sheet                             | 2                       | of  | 2         | Attorney Docket Number | LAN01-US          |  |

|                    |                          | NON PATENT LITERATURE DOCUMENTS   |                |
|--------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                    |                          | EP Search Report dated November 8, 2004 of Patent Application No. 00 96 0131 filed July 11, 2000.   |                |
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| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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